

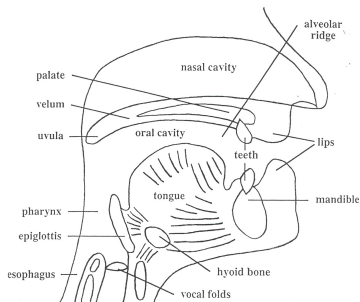
# Modeling speech using pole-zero models

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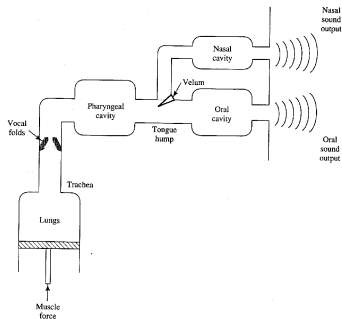
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The vocal tract and related supralaryngeal structures

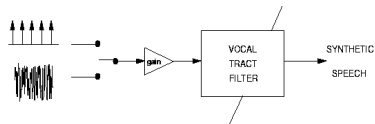


[http://pegasus.cc.ucf.edu/~cnye/vocal\\_tract\\_pic.htm](http://pegasus.cc.ucf.edu/~cnye/vocal_tract_pic.htm)

- Roughly divided into three cavities
  - Pharyngeal
  - Oral
  - Nasal
- Oral vowel production
  - Nasal section closed off by velum
- Nasals and nasalized vowels
  - Nasal section coupled
- Laterals (e.g. /l/)
  - Airflow on one (or both) sides of the tongue
  - Generates side branches



A block diagram of human speech production.



The engineering model for speech synthesis.

[http://health.tau.ac.il/Communication Disorders/noam](http://health.tau.ac.il/Communication%20Disorders/noam)

- Glottis acts as source (pulse train)
- Vocal tract acts as 'slowly' varying linear filter

- Source and filter often assumed independent
  - Glottal opening and closing changes VT filter
- Glottal pulse is not ideal pulse
- Effect of glottis not linear
- Still the source-filter model is useful
  - Commonly used in phonetics
  - Model parameters can be used for speaker recognition
  - Useful for formant tracking

- All-pole model captures resonances or formants
- Autoregressive model (AR), linear predictive coding (LPC)

$$y(n) = \sum_{i=1}^p a_i y(n-i) + x(n)$$

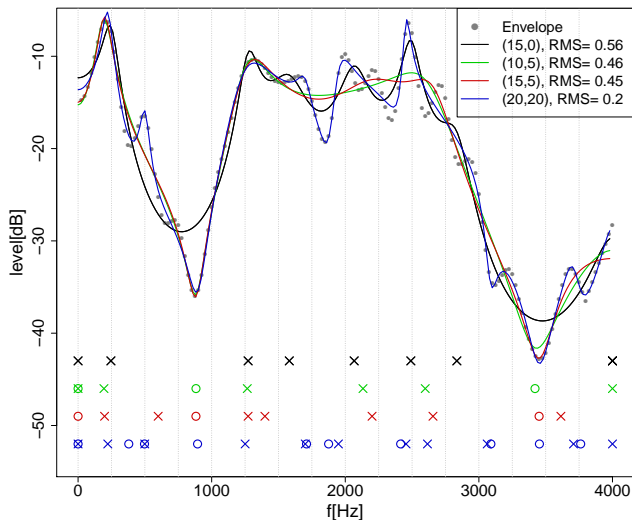
- Works well with vowels
- Easy to estimate
  - Solve the Yule-Walker equations (Toeplitz) with the Levinson-Durbin algorithm

$$\gamma(n) = \sum_{i=1}^p a_i \gamma(n-i) + \sigma_x^2 \delta_{n,0}$$

- Direct link to simple physical model

Correlation function...  $\gamma(i) = E[y(n)y(n-i)]$

- Nasal spectra show spectral dips
  - Oral cavities and paranasal cavities act as resonators
  - Side branches cause decrease in energy
  - Pole-zero model more efficient
- Problems with pole-zero models
  - Trickier to estimate
  - Requires in general non-linear methods
  - Correspondence to physical model more difficult



- Auto Regressive Moving Average (ARMA)

$$y(n) - \sum_{k=1}^p a_k y(n-k) = \sum_{j=0}^q b_j x(n-j) \quad (1)$$

- Pole-zero model

$$\hat{y}(\omega) = \frac{\sum_{j=0}^q b_j e^{-i\omega k}}{\sum_{k=0}^p a_k e^{-i\omega k}} \hat{x}(\omega) = \frac{B(e^{-i\omega}, \theta)}{A(e^{-i\omega}, \theta)} \hat{x}(\omega) \quad (2)$$

- Estimation in general a non-linear problem



## Time domain

- Not suitable for perceptual frequency scales

## Spectral domain

- Perceptual frequency scales can be included
- Logarithmic spectrum can be used
- Spectral envelope needs to be extracted
  - Harmonics for voiced segments due to glottis
  - Envelope represents VT transfer function (+ glottal pulse)

- Linear spectrum
  - Assumptions about phase are necessary (minimum phase)
  - Speech signal is not minimum phase (glottis)
- Log spectrum

$$\theta = \operatorname{argmin}_{\theta'} \sum_{k=0}^{K-1} \left| \log \hat{y}(\omega_k) - \log \frac{B(e^{i\omega_k}, \theta')}{A(e^{i\omega_k}, \theta')} \right|^2$$

- Perceptually relevant
- Log amplitude spectrum

$$\theta = \operatorname{argmin}_{\theta'} \sum_{k=0}^{K-1} \left| \log |\hat{y}(\omega_k)| - \log \left| \frac{B(e^{i\omega_k}, \theta')}{A(e^{i\omega_k}, \theta')} \right| \right|^2$$

- Phase ignored, minimum phase system easy to obtain
- Cepstral domain
  - Computationally efficient (only for linear frequency)

- Estimate numerator and denominator separately
- Recursive Methods
  - Do not necessarily converge to local minimum
- Non-linear optimization
  - Newton method
    - Calculation of Hessian necessary
    - Numerically expensive and potentially unstable
  - Gauss-Newton method
    - Hessian approximated through first derivatives
    - Convergence issues
  - Quasi-Newton
    - Approximate Hessian (or its inverse) using iterative scheme
    - Numerically stable and inexpensive

- Positions of poles and zeros
  - Number of complex and real poles/zeros needs
  - Multiplicity
- Quadratic factors
  - Multiplicity
- Polynomial coefficients
  - Only number of poles and zeros

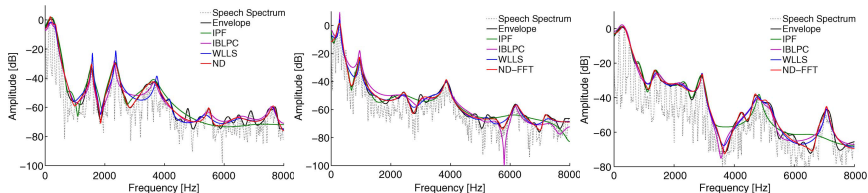
- Substitute non-linear problem with a linear one
- Steiglitz-McBride (1965, 1977)

$$\begin{aligned}
 \theta_i &= \operatorname{argmin}_{\theta'} \sum_{k=0}^{K-1} \left| \hat{y}(\omega_k) \frac{A(e^{i\omega_k}, \theta')}{A(e^{i\omega_k}, \theta_{i-1})} - \frac{B(e^{i\omega_k}, \theta')}{A(e^{i\omega_k}, \theta_{i-1})} \right|^2 \\
 &= \operatorname{argmin}_{\theta'} \sum_{k=0}^{K-1} \left| \hat{y}(\omega_k) - \frac{B(e^{i\omega_k}, \theta')}{A(e^{i\omega_k}, \theta')} \right|^2 \left| \frac{A(e^{i\omega_k}, \theta')}{A(e^{i\omega_k}, \theta_{i-1})} \right|^2
 \end{aligned}$$

- More general: Weighted linear least squares (WLLS)

$$\theta_i = \operatorname{argmin}_{\theta'} \sum_{k=0}^{K-1} W(\omega_k, \theta_{i-1}) \left| \hat{y}(\omega_k) A(e^{i\omega_k}, \theta') - B(e^{i\omega_k}, \theta') \right|^2$$

- Logarithmic amplitude spectrum
- Estimation of polynomial coefficients
- Quasi-Newton with line search
  - Gradient calculated analytically
  - Broyden-Fletcher-Goldfarb-Shanno (BFGS) method
  - Iterative approximation of the inverse Hessian (rank-one updates)
  - Line search along gradient
- Initialized using the WLLS method

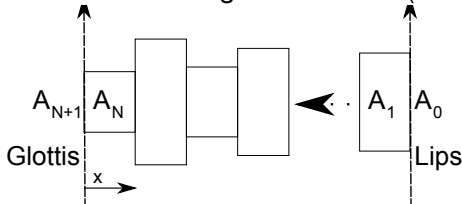


- New method shows lowest error
- Fewer iterations for polynomial representation

- Efficient representation for laterals, nasals, ...
- Different estimation schemes
- Newton-like method gives good results
- Speaker verification improved as compared to LPC only (Enzinger et al. 2011)
- Important questions
  - What is an appropriate degree for the polynomials?
  - Should the glottal source be corrected?
  - What about physiological constraints?



- Vocaltract as a segmented tube (Wakita 1973, Fant 1960)



- Two equations per segment  $m$  (volume velocity)

$$\begin{aligned}
 p_m(x) &= \frac{\rho c}{A_m} (u_m^+ \exp(-ikx) + u_m^- \exp(ikx)) \\
 u_m(x) &= u_m^+ \exp(-ikx) - u_m^- \exp(ikx)
 \end{aligned} \tag{3}$$

- Volume velocity and pressure are matched at boundaries
- Lossless model (no friction or viscosity, below 4000 Hz ...)

- Transfer function  $u_{lips}/u_{glottis} = u_0/u_N$

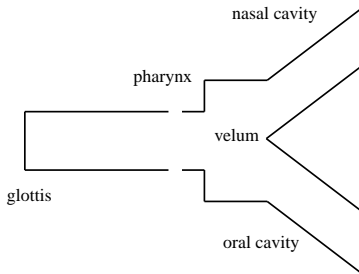
$$\hat{A}(\mu, z) = z^{N/2} \begin{pmatrix} 1 & 0 \end{pmatrix} \prod_{m=N}^0 \frac{1}{1 - \mu_m} \begin{pmatrix} 1 & \mu_m \\ \mu_m z^{-1} & z^{-1} \end{pmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix} \quad (4)$$

- Correspondence requires fixed segment length (related to  $f_s$ )
- specific boundary conditions required (e.g.  $N=2$ )

$$\hat{A}(\mu, z) \propto 1 + (\mu_0\mu_1 + \mu_1\mu_2)z^{-1} + \mu_0\mu_2z^{-2}$$

- For  $\mu_0$  or  $\mu_N = \pm 1$  reflection coefficients are calculated by recursive algorithm (Markel and Gray, 1976)

$m$ -th reflection coefficient  $\mu_m := \frac{A_m - A_{m+1}}{A_m + A_{m+1}}$  and  $z := \exp i2\pi \frac{f}{f_s} = \exp i2\pi f \frac{c}{2l}$



- Nasal tract is added
- Each tract is modeled as segmented tube
- For nasals: nasal tract open, oral tract closed
- Vocaltract model has pole-zero characteristic
  - Transfer function given as  $f(\mu, z) = \frac{\hat{B}(\mu, z)}{\hat{A}(\mu, z)}$

- No direct way from pole-zero to branched-tube model
- Numerator polynomial appears also in denominator
  - Pole-zero model has  $2N + M + L$  coefficients
  - Two-tube model has  $N + M + L + 1$  parameters
  - Numerator can be calculated precisely
- Current estimation methods
  - Estimate pole-zero model
  - Apply step-down to numerator and
  - Minimize error with respect to either
    - denominator polynomial (Lim and Lee 1996) or
    - signal filtered with numerator (Schnell 2003)
  - Gives precedence to zeros

- Estimate all parameters at once
- Use a Bayesian approach to model inversion
- Include prior assumptions about vocal tract smoothness
  - Reflection coefficients close to zero imply a smooth tract
- Sigmoidal parameter transform  $\mu_m \rightarrow \theta_m$ 
  - Restricts reflection coefficients to  $(-1, 1)$
- Estimation is based on the log smoothed spectral envelope

$$y(\omega) := \ln G(\omega) = f(\theta, \omega) + \epsilon(\omega). \quad (5)$$

$G$ ...envelope,  $f$ ...transfer function  $B/A$ ,  $\epsilon$ ...error,  $\theta$ ...transformed  $\mu$

$$y(\omega) := \ln G(\omega) = f(\theta, \omega) + \epsilon(\omega)$$

Law of Bayes

$$p(\theta, \lambda | y) \propto p(y | \theta, \lambda) p(\theta) p(\lambda) = p(y, \theta, \lambda) \quad (6)$$

Under normality assumptions

$$\begin{aligned} p(y | \theta, \lambda) &= \mathcal{N}(y | f(\theta), \Sigma) \\ p(\theta) &= \mathcal{N}(\theta | \eta_\theta, \Pi_\theta^{-1}) \\ p(\lambda) &= \mathcal{N}(\lambda | \eta_\lambda, \Pi_\lambda^{-1}). \end{aligned} \quad (7)$$

Covariance of error  $\epsilon$  is defined as

$$\Sigma^{-1} = g(\lambda) = I_n \exp \lambda \quad (8)$$

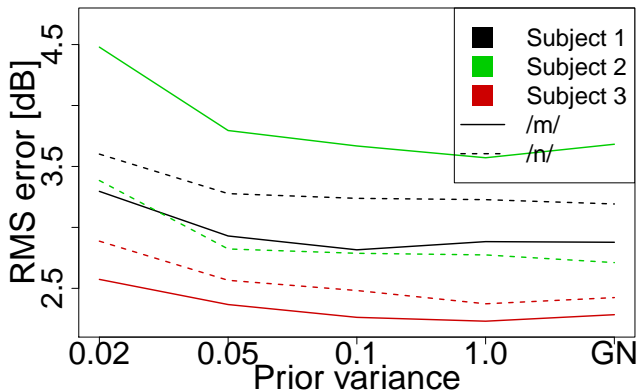
Under a variational approach

$$p(\theta, \lambda | y) = q(\theta, \lambda) = q(\theta)q(\lambda) \quad (9)$$

with

$$\begin{aligned} q(\theta) &= \mathcal{N}(\theta | \mu_\theta, \Sigma_\theta) \\ q(\lambda) &= \mathcal{N}(\lambda | \mu_\lambda, \Sigma_\lambda). \end{aligned} \quad (10)$$

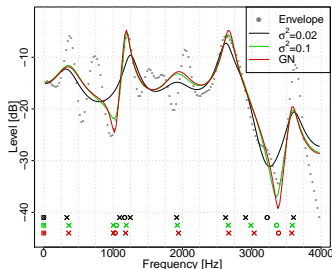
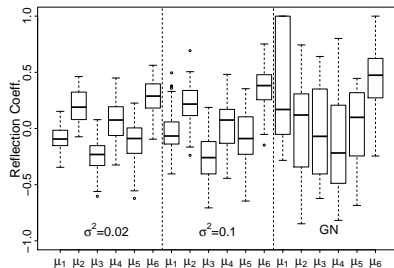
- Iterate  $\lambda$  and  $\theta$  alternatively
- Use unscented transform for calculating the integrals
- Posterior distribution based on Laplace approximation
  - Find maximum of  $q(\theta)$  ( $q(\lambda)$ ) using non-linear optimization
  - Variance follows from 2nd order derivative (approximated by Jacobian)



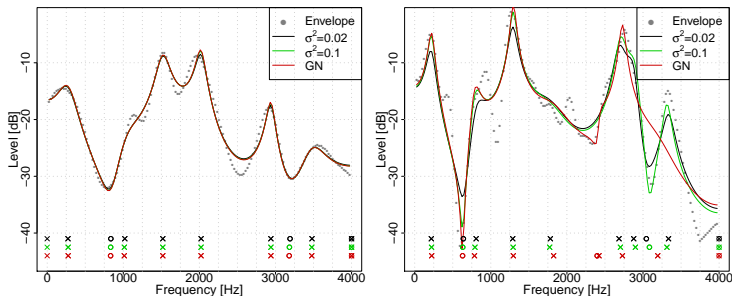
- RMS levels off for higher prior variances
- Simple optimization comparable to Bayesian estimation



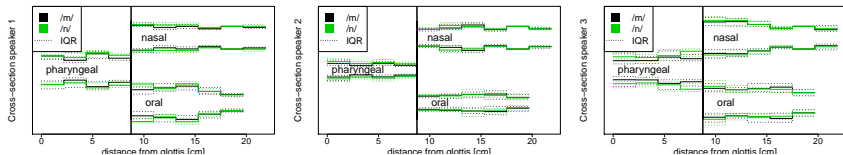
## Effect of priors I



- Less variance for Bayesian scheme
- Effect of tighter priors
  - Spectral features are not always captured as well



- Sometimes the effect of priors is negligible
- Using the Bayesian scheme may result in fitting different zeros



- Smallest variance in nasal tube
- Differences between /n/ and /m/ in all three branches
  - Differences not what is to be expected
  - Model too simple to capture the nasals properly

## The new method

- uses simultaneous estimation of naso-pharyngeal and oral section
- applies smoothness priors within a variational Bayesian approach
- does not build on a separate pole-zero estimation

## Results show:

- Application to recorded speech data yields in general good spectral fits
- Tradeoff between prior variance and accuracy
- The Bayesian method is more robust against varying initial conditions than a standard optimizer

- Pole-zero models are more efficient for certain types of phonemes
- Non-linear optimization gives best results
- Applications in coding and speaker identification

### Physiological models

- Physiological models constrain the solution
- Number of parameters is given naturally
- Other assumptions necessary e.g. terminations ...
- A glottal model is needed
- Different models for e.g. lateral or nasal

- Tracking algorithm
- Glottal excitation model
- Using anatomically motivated priors
  - important if a more complex nasal tract model is included
- Implementing Webster-Horn equation
  - uses conical instead of cylindrical elements
- Impedance models for glottis and lips (nostrils)
- Lossy model for friction and heat conduction
  - exponential decaying term

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